



Group Art Unit:

Sectof ##
Attorney Docket No.: 042390,P7920

unassigned

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

ERIK C. COTA-ROBLES, ET AL.

Application No.: 09/541,444

Filed: March 31, 2000

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For:

REAL-TIME SCHEDULING OF VIRTUAL MACHINES

Assistant Commissioner for Patents Attention: Box Missing Parts Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed <u>June 7, 2000</u>, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
 - (2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e);
 - (3) a copy of the Notice to File Missing Parts of Application

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplication of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated:

August 7, 2000

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 Telephone: (503) 684-6200 Gregory D. Caldwell, Reg. No. 39,926

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts,

Washington, D.C. 20231 on: August 7, 2000.

Mary E. Warinner

08/07/00

Date

Please type a plus sign (+) inside this box

Signature

PTO/SB/17 (6/99)
Approved for use through 09/30/2000. OMB 0651-0032
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Under the Paperwork Reduc

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT	(\$)

130.00

	collection of information unless it displays a valid OMB control number
	Complete if Known
Application Number	09/541,444
Filing Date	March 31, 2000
First Named Inventor	Erik C. Cota-Robles
Examiner Name	Not Yet Assigned
Group/Art Unit	unassigned
Attorney Docket Number	042390.P7920

METHOD OF PAYMENT (check one)			FE	E CALCULATION (continued)	
1. The Commissioner is hereby authorized to charge	3. ADI	DITION	AL FE	E	
indicated fees to: 2. The Commissioner is hereby authorized to credit	Large Entity	Small	Entity	y ·	
any over payments to:	Fee Fee	Fee	Fee	Fee Description	Fee Paid
Deposit Account 02-2666	Code (\$) 105 130	Code 205	(\$) 65	Surcharge - late filing fee or oath	120.00
Number	127 50	227		Surcharge - late filling fee or oath Surcharge - late provisional filling fee or	130.00
Account Blakely, Sokoloff, Taylor & Zafman LLP	100 100	400		cover sheet.	
Name	139 130 147 2,520	139 147 2		Non-English specification For filing a request for reexamination	
Charge Any Additional Fees Required Under 37 CFR §§ 1.16,1.17, 1.18 and 1.20.	112 920*	112	920*	Requesting publication of SIR prior to	
2. X Payment Enclosed:	113 1,840*	112 1	1 840 1	Examiner action *Requesting publication of SIR after	
Check Money Other	110 1,040	110	1,040	Examiner action	
	115 110	215		Extension for response within first month	
FEE CALCULATION	116 380	216		Extension for response within second month	
1. BASIC FILING FEE	117 870	217		Extension for response within third month	·
Large Entity Small Entity Fee Fee Fee Fee Description Fee Paid	118 1,210	218		Extension for response within fourth month	
Code (\$) Code (\$)	128 1,850 119 300	228 219		Extension for response within fifth month	
101 690 201 345 Utility filing fee	120 300	219		Notice of Appeal Filing a brief in support of an appeal	-
106 310 206 155 Design filing fee	121 260	221		Request for oral hearing	
107 480 207 240 Plant filing fee	138 1,510			Petition to institute a public use proceeding	
108 690 208 345 Reissue filing fee	140 110	240		Petition to revive - unavoidable	
114 150 214 75 Provisional filing fee	141 1,210	241		Petition to revive - unintentional	
SUBTOTAL (1) (\$)	142 1,210	242		Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Extra Fee from	143 430	243		Design issue fee	
Claims below Fee Paid	144 580	244	290	Plant issue fee	
Total Claims Independent X = X	122 130	122	130	Petitions to the Commissioner	
Claims X = X	123 50	123	50	Petitions related to provisional applications	
Multiple Dependent	126 240	126	240	Submission of Information Disclosure Stmt	
or number previously paid, if greater, For Reissues, see below	581 40	581		Recording each patent assignment per	
Large Entity Small Entity	146 790	246		property (times number of properties)	
Fee Fee Fee Fee Description Code (\$) Code (\$)	146 790	246		Filing a submission after final rejection (37 CFR 1.129(a))	
103 18 203 9 Claims in excess of 20	149 790	249		For each additional invention to be	
102 78 202 39 Independent claims in excess of 3	O4	- 16.3		examined (37 CFR 1.129(b))	
104 260 204 130 Multiple Dependent claim, if not paid	Other fee (spe				<u> </u>
109 78 209 39 **Reissue independent claims over original patent	Suloi lee (spe	,y		-	L
110 18 210 9 **Reissue claims in excess of 20					
and over original patent			 -		
SUBTOTAL (2) (\$)	* Reduced by	Basic F	-Iling F	Fee Paid SUBTOTAL (3) (\$)	130.00
SUBMITTED BY				Complete (if applica	ble)
Typed or Printed Name Gregory D. Caldwell, /				Reg. Number 39,92	
Signature 1110 MAMI			П	Deposit Account 00	2666

Date

08/07/00

User ID

02-2666

503 696 1442 AUG 07 '00 Docket No.: 042390.P79

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

REAL-TIME SCHEDULING OF VIRTUAL MACHINES

the specif	ication of which			
	´ [is attached hereto.		
	X		31, 2000_88	
		United States Application Nu		541,444
		or PCT International Applica	tion Number	
		and was amended on		•
			(if applicable)	
amended used in the country be sale in the made the of America pplication. I acknow Federal R. I hereby of patent of patent of the country of	by any amendment referred to United States of America sefore my invention thereof to United States of America subject of an inventor's certica on an application filed by on) or six months (for a desired ledge the duty to disclose all Regulations, Section 1.56.	before my invention thereof, of or more than one year prior to the more than one year prior to this ifficate issued before the date of me or my legal representative ign patent application) prior to a linformation known to me to be	o not believe that the claimed in any his application, that the same application, and that the invertible of this application in any count is or assigns more than twelve this application. The material to patentability as a Code, Section 119(a)-(d), or below any foreign application.	r printed publication in any was not in public use of on ention has not been patented or ry foreign to the United States months (for a utility patent defined in Title 37, Code of f any foreign application(s) for
	eign Application(s):			
	APPLICATION NUMBER	COUNTRY (OR INDICATE IF PCT)	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119 No Yes No Yes
				□ No □ Yes
I hereby provision	claim the benefit under Title and application(s) listed below APPLICATION NUMBER	e 35, United States Code, Sections: FILING DATE	on 119(e) of any United State	25

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION NUMBER	FILING DATE	STATUS (ISSUED, PENDING, ABANDONED)

I hereby appoint the persons listed on Appendix A hereto (which is incorporated by reference and a part of this document) as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to:

Howard A. Skaist, Reg. No. 36,008, BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

(Name of Attorney or Agent)

12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025 and direct telephone calls to:

Howard A. Skaist, (503) 684-6200.

(Name of Attorney or Agent)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor (given name, family	name) Erik C. Cota-Robles
Inventor's Signature Eash Call	les Date 19 July 2000
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Portland, Oregon 97219 USA	





all Name of	Second/Joint Inventor (given name, family name)	Kriszuan Flaudie
	nature widn Kartier	Date 8/5/2000
ventor's Sign		
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ventor's Sig	nature	Date
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	Fourth/Ioint Inventor (given name family name)	
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AUG 1 4 2000



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PPENDIX



P.05/06

01:29PM

I hereby appoint BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, a firm including: William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. 42,261; Amy M. Armstrong, Reg. No. 42,265; Aloysius T. C. AuYeung, Reg. No. 35,432; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Bradley J. Bereznak, Reg. No. 33,474; Michael A. Bernadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; R. Alan Burnett, Reg. No. 46,149; Gregory D. Caldwell, Reg. No. 39,926; Ronald C. Card, Reg. No. 44,587; Thomas M. Coester, Reg. No. 39,637; Donna Jo Coningsby, Reg. No. 41,684; Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Robert Andrew Diehl, Reg. No. 40,992; Matthew C. Fagan, Reg. No. 37,542; Tarek N. Fahmi, Reg. No. 41,402; George L. Fountain, Reg. No. 36,374; Paramita Ghosh, Reg. No. 42,806; James Y. Go, Reg. No. 40,621; James A. Henry, Reg. No. 41,064; Willmore F. Holbrow III, Reg. No. 41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; William W. Kidd, Reg. No. 31,772; Sang Hui Kim, Reg. No. 40,450; Walter T. Kim, Reg. No. 42,731; Eric T. King, Reg. No. 44,188; Erica W. Kuo, Reg. No. 42,775; Joseph Lutz, Reg. No. 43,765; Michael J. Mallie, Reg. No. 36,591; Paul A. Mendonsa, Reg. No. 42,879; Clive D. Menezes, Reg. No. 45,493; Darren J. Milliken, Reg. No. 42,004; Chun M. Ng, Reg. No. 36878; Thien T. Nguyen, Reg. No. 43,835; Thinh V. Nguyen, Reg. No. 42,034; Dennis A. Nicholls, Reg. No. 42,036; Lisa A. Norris, Reg. No. 44,976; Daniel E. Ovanezian, Reg. No. 41,236; Gregg A. Peacock, Reg. No. 45,001; William F. Ryann, Reg. No. 44,313; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Jeffrey S. Smith, Reg. No. 39,377; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Vincent P. Tassinari, Reg. No. 42,179; Edwin H. Taylor, Reg. No. 25,129; Joseph A. Twarowski, Reg. No. 42,191; Lester J. Vincent, Reg. No. 31,460; Glenn E. Von Tersch, Rcg. No. 41,364; John Patrick Ward, Reg. No. 40,216; Charles T. J. Weigell, Reg. No. 43,398; James M. Wu, Rcg. No. 45,241; Steven D. Yates, Reg. No. 42,242; and Norman Zafman, Reg. No. 26,250; my attorneys; and Andrew C. Chen, Reg. No. 43,544; Justin M. Dillon, Reg. No. 42,486; and John F. Travis, Reg. No. 43,203; my patent agents, of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (714) 557-3800, and Alan K. Aldous, Reg. No. 31,905; Robert D. Anderson, Reg. No. 33,826; Joseph R. Bond, Reg. No. 36,458; Richard C. Calderwood, Reg. No. 35,468; Jeffrey S. Draeger, Reg. No. 41,000; Cynthia Thomas Faatz, Reg No. 39,973; Sean Fitzgerald, Reg. No. 32,027; John N. Greaves, Reg. No. 40,362; Seth Z. Kalson, Rcg. No. 40,670; David J. Kaplan, Reg. No. 41,105; Charles A. Mirho, Reg. No. 41,199; Leo V. Novakoski, Reg. No. 37,198; Naomi Obinata, Reg. No. 39,320; Thomas C. Reynolds, Reg. No. 32,488; Kenneth M. Seddon, Reg. No. 43,105; Mark Seeley, Reg. No. 32,299; Steven P. Skabrat, Reg. No. 36,279; Howard A. Skaist, Reg. No. 36,008; Steven C. Stewart, Reg. No. 33,555; Raymond J. Werner, Reg. No. 34,752; Robert G. Winkle, Reg. No. 37,474; and Charles K. Young, Reg. No. 39,435; my patent attorneys, and Thomas Raleigh Lane, Reg. No. 42,781; Calvin E. Wells; Reg. No. P43,256, Peter Lam, Reg. No. 44,855; and Gene I. Su, Reg. No. 45,140; my patent agents, of INTEL CORPORATION; and James R. Thein, Reg. No.

31,710, my patent attorney; with full power of substitution and revocation, to prosecute this application and to transact all

business in the Patent and Trademark Office connected herewith.